

PATENT APPLICATION TRANSMITTAL LETTER

Patent Group
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Boston, MA 02109-2170

Docket Number (Optional)

SAZ-001.01

To the Assistant Commissioner for Patents:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of
Seabron Adamson and Ambuj Sagar

entitled Tradable Contingent Securities Bundled with Activity Permits

jc821 U.S. PTO
09/756243

Enclosed are:

- ☒ 27 pages of written description, claims and abstract.
- ☒ 4 sheets of drawings.
- ☐ an assignment of the invention to.
- ☒ unexecuted declaration of the inventors.
- ☐ a certified copy of a _____ application.
- ☐ associate power of attorney.
- ☒ This entity claims benefit of a small entity status.
- ☐ information disclosure statement and cited references.
- ☐ preliminary amendment.
- ☒ A check for \$511.

CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$710	\$710.00
TOTAL CLAIMS (37 CFR 1.16(c))	24 - 20 =	4	x \$18	\$72.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	6 - 3 =	3	x \$80	\$240.00
MULTIPLE DEPENDENT CLAIM PRESENT	37 CFR 1.16(d)		\$270	\$
			TOTAL	\$1022.00
If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here.			SMALL ENTITY	\$511.00

☒ A check in the amount of \$511.00 to cover the filing fee is enclosed.

Certificate of Express Mail

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **06-1448** as described below. I have enclosed a duplicate copy of this sheet.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addresses to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on this date of January 8, 2001

- ☐ Charge the amount of \$ _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)

Robert P. King
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